# Chair's Summary Record 2<sup>nd</sup> Plenary meeting of the Asia-Pacific Safeguards Network Jeju Island, Republic of Korea 5-6 July 2011

The 2<sup>nd</sup> plenary meeting of the Asia-Pacific Safeguards Network (APSN) was held at Jeju Island, Republic of Korea from 5-6 July 2011. It was hosted by the Korea Institute of Nuclear Nonproliferation and Control (KINAC), and sponsored by the Korea Ministry for Education, Science and Technology (MEST) and the European Commission (EC). It was co-chaired by APSN Chair, Mr John Carlson, and the President of KINAC, Dr Sang-ku Chang.

The meeting was attended by representatives of 19 safeguards organisations, ministries and other organisations from 12 regional countries, as well as a representative from the IAEA. The list of participants and their affiliations and contact details is attached.

The opening address was presented by Mr Nam-kyu Lyu, Director of Nuclear Control Division, MEST. Mr Lyu emphasised the importance of developing strong networks of safeguards for cooperation in the Asia-Pacific region, and noted the valuable role that APSN could play in this regard. Opening remarks were also given by Mr Carlson and Dr Chang. Mr Carlson noted the important role APSN can play in the region, and outlined the objectives of the meeting. He noted it is essential to convert the APSN vision into tangible results – an important aspect of this would be the establishment of working groups. These would provide each member with the opportunity to contribute to developing APSN's program and activities, ensuring they meet members' needs.

In Dr Chang's address he outlined his expectation of practical efforts from the 2<sup>nd</sup> plenary meeting for improving APSN, and also asked all the participants to give their advice on the organization for the next Nuclear Security Summit in Seoul in 2012. Mr Carlson welcomed participants and conveyed apologies for those unable to attend. In particular he welcomed Myanmar which was attending an APSN meeting for the first time, and expressed the hope that Myanmar would become a regular participant. Mr Carlson and Dr Chang conveyed to the Japanese participants condolences and solidarity for the earthquake and tsunami tragedy of 11 March 2011, and well as their appreciation for Japan continuing its strong support for APSN.

An addition to the agenda, which was approved by the members as proposed by the Chair, was a short statement by Mr Shinji Matsui, Japanese Ministry of Foreign Affairs. Mr Matsui thanked the APSN members for their messages of support for Japan, and conveyed Japan's intention to continue to actively support and contribute to the work of APSN. Mr Matsui further noted that following the accident at the Fukushima nuclear power plants there has been a greater international focus on nuclear safety, however, it is important that the international community remains engaged in support of non-proliferation and safeguards. In this regard Mr Matsui noted the importance of APSN's work focusing first on gaining practical successes and that Japan will continue to support this work.

The following summarises the discussions and decisions made during the meeting under each of the agenda item topics.

# **Nuclear Security Summit**

Dr Wan Ki Yoon presented on KINAC's preparations for the 2<sup>nd</sup> Nuclear Security Summit to be held in Seoul, March 2012. Dr Yoon's presentation is included in the plenary meeting CD. Dr Yoon explained that KINAC is building an international nuclear security training centre, as committed by the President of the Republic of Korea at the Washington Nuclear Security Summit. The centre will open in 2014 to the international society and will provide education & training programs for safeguards, security, export control and nonproliferation to the international community, particularly to Asian Pacific countries (including APSN member countries) for capacity building in these areas.

# **APSN Members' presentations**

Presentations on matters of safeguards significance in their respective countries were given by the following APSN members: AELB, ASNO, BAPETEN, CNSC, JSGO, KINAC, PNRI, and VARANS. The presentations are included in the plenary meeting CD.

#### **ESARDA Training Course**

Dr Everton (ASNO) gave a presentation on behalf of Mr Pascal Daures of the Nuclear Security Unit of the Institute for Transuranium Elements, on the ESARDA (European Safeguards Research and Development Association) Training Course on Nuclear Safeguards and Non-proliferation. This one-week ESARDA course for masters degree students, young professionals and international relations/nuclear law students was first held in Ispra, Italy in 2006. The EC would like to replicate this course in South East Asia, co-badged as an APSN event, and has begun discussions with AELB regarding hosting in the first quarter of 2012. The presentations are included in the plenary meeting CD.

# The State-level Concept – a Safeguards System that is Fully Information Driven

Mr Neil Tuley (IAEA) presented on the developments in the IAEA Department of Safeguards to modify the safeguards system to one that makes full use of all information available to the IAEA for both safeguards evaluations and also to determine in-field verification activities. Mr Tuley's presentation is included in the plenary meeting CD.

#### **SSAC Good-practices paper**

A draft paper on the fundamentals and good practices of state systems of accountancy and control (SSAC) was discussed at the APSN ad hoc meeting in Singapore, 25 March 2011. Revisions had been incorporated and circulated to APSN members ahead of the plenary meeting. Further suggestions for changes were raised by APSN members during the plenary.

# APSN agreed that by:

- end July: APSN members would provide comments on the current draft.
- end September: APSN members will complete and submit to ASNO their contributions for the annex on individual state experiences and lessons learned.
- end October: ASNO will incorporate all contributions to the annex and circulate the full document for final review and approval by APSN.
- Nov/Dec: the good-practices paper will be published with the IAEA as an INFCIRC.

# **Guide for State Implementing CSAs and APs**

Mr Neil Tuley presented on the IAEA Department of Safeguards preparation of a new publication on guidance for States in the implementation of IAEA safeguards. It will include guidance on implementing obligations related to comprehensive safeguards agreements, small quantities protocols, and the additional protocol. The IAEA intends to publish the guide by the end of 2011. Mr Tuley's presentation on the guide is included in the plenary meeting CD.

# **Steering Committee and Working Groups**

APSN agreed to the formation of a steering committee comprising Australia, Indonesia, Republic of Korea, and Japan. The steering committee would have the role of developing advice and direction for consideration by APSN. It would not be an executive committee, so would not make decisions on APSN's behalf.

APSN agreed the formation of working groups and working group facilitators, as listed in the attachment to this record. Also listed are APSN members that expressed interest in joining each working group.

APSN agreed that each working group would endeavour to organize its activity by e-mail-based contact and report the status of the activity at the next plenary meeting in 2012. The working groups may initiate projects by their respective initiatives for APSN but would not make decisions on behalf of APSN.

Regarding working group activities, the Chair suggested that each working group should try to have at least one project/initiative completed by the next plenary meeting.

Additionally, BAPETEN presented on its interests in the subject of harmonization series numbers for import/export controls.

APSN agreed that a working group would not be formed but interested APSN member with responsibilities for import/export controls would work together on sharing experiences, domestic requirements etc, as required.

The discussions by each working group facilitator on projects and work plans under their respective working groups are included in the plenary meeting CD.

# **NNSA Survey on Training Needs**

Mr Matthew Van Sickle presented on a survey that NNSA plans to conduct on the safeguards training needs and requirements of APSN members. The purpose of this survey is to assist with the coordination of the various centres of excellence and safeguards training programs, to minimise unnecessary training duplication and gaps. Mr Van Sickle presented two draft survey forms, for training providers and for training recipients, and sought feedback on the content of the forms. APSN noted that NNSA would conduct the surveys by 15 August 2011, with the results to be distributed to APSN members by 1 September 2011.

#### **Support Program Overviews**

ASNO, JAEA, KINAC and NNSA presented on recent and planned activities related to training and capacity building. These presentations are included in the plenary meeting CD.

# APSN vision and direction/objectives over the next few years APSN communication to the international community

APSN vision and APSN communications were two separate items on the agenda, but the discussions overlapped and had several synergies so are reported here together. Most discussions related to how to improve APSN's profile in the international safeguards community so that its work can have value and influence beyond its members. Ideas and views discussed during this session included the following:

- NNSA: An important function of APSN should be to ensure training programs, centres of excellence, etc are coordinated to minimise unnecessary duplication or gaps.
- NNSA: APSN's web site is designed to facilitate sharing of documents within a community, but it is not optimally designed for establishing APSN's international profile or as a safeguards information resource more broadly. A re-design of the web site as well as an improving its content could both assist APSN with its profile and also make the web site a more valuable tool for APSN members and non-members.
- ASNO: The two other regions of significant nuclear activity, North America and Europe are closely associated with high-profile and active professional safeguards associations (INMM and ESARDA respectively) that are influential in a range of safeguards technical and policy endeavours. The fastest growing nuclear region in the world, Asia, does not as yet have a similar entity. ASNO favours a long-term vision for APSN of establishing a profile comparable to that of INMM and ESARDA. In pursuit of this, APSN could consider establishing a major annual international safeguards conference and a regular (e.g. quarterly, half-yearly) safeguards journal publications two key aspects of the work of INMM and ESARDA.
- ASNO/Chair: It will be important for APSN to produce some tangible outputs on safeguards. The working groups will have a very important role in this regard.
- KINAC/ASNO: One idea being considered for commitments for the March 2012 Nuclear Security Summit (NSS) is the establishment of regional associations or networks for nuclear security. If such associations/networks are established under the imprimatur of NSS then these would have a very high profile and authority which would likely subsume the safeguards work of APSN. In order to ensure the continuing vitality and profile of APSN it may be necessary for APSN to expand its mandate to cover nuclear security so that it could take on the role of the association/network for nuclear security in the Asia-Pacific region.
- KINAC: The Fukushima accident and the NSS have boosted the international profiles of nuclear safety and security. To re-invigorate international attention on safeguards APSN could consider initiating a Ministerial-level regional summit on safeguards.
- CNSC: The APSN web site could be improved to facilitate information sharing between APSN members. Currently it is not utilised and updated enough to make it a useful tool for keeping informed of safeguards activities.
- Japan: As envisioned in the Statement of Principles, APSN should maintain a focus on sharing information, expertise and available training projects mainly in the area of safeguards for its members to practically benefit from APSN.

APSN agreed to the following actions regarding communication and profile enhancing:

- NNSA, in its capacity as facilitator for WG3, will commence work with other WG3 members on re-designing the APSN web page to one that is more suitable for promoting APSN to the international community. Included in this re-design will be a greater amount of information and resources on the public section of the web page. Care would be taken to ensure that information not intended for public access would remain a members-only section of the website.
- ASNO will investigate acquiring a more suitable URL for the APSN web page, such as www.apsn.net,www.ap-safeguards.net, etc.
- The Steering Committee will examine options and ideas for raising APSN's profile.

#### Additional APSN membership

Dr Floyd offered some proposals related to the general guiding philosophy of APSN membership. Dr Floyd noted that the question of APSN membership flows from the question of its vision. If APSN aims to be a major regional network engaged in awareness-raising and capacity building throughout the Asia-Pacific then inclusiveness will become more important. The statement of principles (SOP) are light on membership qualifications, stating simply that it is open to any government or government-affiliated organisation that is responsible for the application, implementation or development of safeguards in sovereign states in the Asia-Pacific region. To become a member however, consensus from APSN members is required. In this regard, Dr Floyd proposed that in the context of considering APSN's role and vision, APSN should also consider its approach to membership – if a country approaches APSN to join and is willing to adopt the SOP (membership requirement) then should that be sufficient?

On the question of India being invited to join APSN, consensus could not be reached during the meeting, in part because several members had not yet had an opportunity to consult with their respective Governments to form a view.

#### APSN agreed that:

- APSN members would endeavour to provide a national response on possible Indian membership to the APSN Secretariat as soon as possible;
- the Steering Committee would consider the responses and formulate a way forward for consideration at the 2012 APSN plenary.

#### Any other business

The Chair raised two matters APSN will need to decide on well ahead of the next plenary.

- A host and time for the 3<sup>rd</sup> plenary meeting (scheduled for some time in 2012)
- A nomination for the next Chair, as Mr Carlson's position as Chair is scheduled to expire at the next plenary meeting.

APSN agreed to the steering committee developing proposals on these matters.

Attachment 1: List of participants.
Attachment 2: Adopted agenda
Attachment 2: Working Groups

# **ATTACHMENT 1**

# LIST OF PARTICIPANTS THE 2<sup>nd</sup> APSN MEETING

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# ATTACHMENT 2 AGENDA AS ADOPTED

► D-1, Monday July 4, 2011

	REGISTRATION	REMARKS
19:00 – 21:00	Registration	Registration room: 8 <sup>th</sup> floor,
		Ramada Hotel

► First Day, Tuesday July 5, 2011

First Day, Tuesday July 5, 2011				
	AGENDA	REMARKS		
SESSION 1 – OPENING				
	Opening remarks:			
	Host Country	Dr. Sang Ku Chang		
	APSN Chair	Mr. John Carlson		
	Congratulatory address from:			
09:00 - 10:00	<ul> <li>Ministry of Education, Science and</li> </ul>	Director, Mr. Nam Kyu Lyu		
	Technology			
	Introduction of each Participant			
	Adoption of agenda	Mr. John Carlson		
	Chair overview – setting the scene	Mr. John Carlson		
10:00 - 10:20	Coffee break			
	SESSION 2 – KEYNOTE PRESENTAT	TIONS		
10:20 - 10:50	Nuclear Security Summit	Dr. Wan Ki Yoon		
		(KINAC)		
10:50 - 12:30	Member states' presentation	Strictly 15 minutes for each		
	(presenters tbc)	state		
12:30 – 14:00	Lunch			
SESSION 3 – MEMBERS' PRESENTATION ON NATIONAL SAFEGUARDS				
ACTIVITIES				
14:00 – 14:30	ESARDA Training Course	ASNO (on behalf of EC)		
14:30 – 15:30	The State-level concept – evolving to a	IAEA		
	safeguards system that is fully			
	information-driven			
	- Discussions, Q&A, feedback			
15:30 – 16:00	Coffee break			
16:00 – 17:30	Member states' presentation			
18:30 - 20:30	Dinner reception			

► Second Day, Wednesday July 6, 2011

Second Day, wednesday July 0, 2011		
	AGENDA	REMARKS
SESSION 4 – APSN PROJECTS AND WORKING GROUPS		
09:00 - 09:30	Discussion on the draft APSN SSAC good-	ASNO
	practices paper	
09:30 - 10:30	Guide for States implementing CSA and	IAEA
	AP	
	- Discussions, Q&A, feedback	

10:30 - 11:00	Coffee break		
	Working group outline by proposed	Chair	
	working group chairs:		
	- WG1: Safeguards infrastructure,		
11:00 – 12:30	implementation and awareness raising		
	- WG2: Capacity building		
	- WG3: IT support/information	15 minutes for each WG	
	- WG4: Model safeguards and security	proposed chair	
	legislation		
	- Informal Working Group: Nuclear		
	Security		
	- Working Group proposal: Harmonization	BAPETEN	
	series numbers for import/export control		
12:30 – 14:00	Lunch		
SESSION 5 – SAFEGUARDS TRAINING AND SUPPORT PROGRAMS			
14:00 – 14:30	NNSA discussion on outcomes of its	NNSA	
	survey of safeguards training needs		
14:30 – 15:30	Support Program overviews (Aust, EU,	10 minutes for each state	
	Japan, ROK, US)		
15:30 – 16:00	Coffee break		
16:00 – 16:30	APSN vision and direction/objectives over	Chair	
	the next few years		
16:30 – 17:00	- APSN communication to the	Chair	
	international community		
	- Additional APSN membership (India)	ASNO	
17:00 – 17:20	Any other business	Chair	
17:20 – 17:30	Closing the meeting	Chair	
19:00 – 21:00	Dinner		

► Third Day, Thursday July 7, 2011

	AGENDA	REMARKS
08:00 - 10:00	Side-meetings (as required)	
10:00 – 15:00	Culture tour to Jeju	

# ATTACHMENT 3 WORKING GROUPS

Working Group 1: Safeguards infrastructure, implementation and awareness-raising

Objective: To coordinate APSN programs and activities in the areas of: infrastructure; implementation (e.g. sharing of experience, technical assistance on aspects of implementing safeguards); awareness-raising (e.g. promoting the Additional Protocol); R&D collaboration.

Working group facilitator: ASNO (Dr Craig Everton)

Members: AELB, BAPETEN, Indonesia MFA, Japan MFA, JSGO, JAEA, NMCC, KINAC, NNSA, OAP [tbc], PNRI, VARANS, and NEA

#### Working Group 2: Capacity building

Objective: To coordinate APSN capacity-building programs and activities in areas such as: training courses; knowledge management; professional development; mentoring programs; industrial outreach programs; non-proliferation/safeguards culture.

Working group facilitator: Japan MFA (Mr Shinji Matsui)

Members: AELB, ASNO, BAPETEN, Indonesia MFA, KINAC, NNSA, OAP [tbc], PNRI, VARANS, JSGO, JAEA, NMCC

#### Working Group 3: IT support, communications and information

Objective: To ensure the APSN web-site meets members' needs, to examine other IT issues relevant to APSN operations, and to develop communication plans for raising APSN's profile.

Working Group facilitator: NNSA (Ms Oksana Elkhamri)

Members: ASNO, BAPETEN, Indonesia MFA, KINAC, Japan MFA, JSGO, JAEA, NMCC

#### Working Group 4: Safeguards legislation

Objective: To develop an illustrative implementation kit for nuclear safeguards legislation, including how to implement the Additional Protocol.

Working Group facilitator: Indonesia MFA (Mr Daniel Simanjuntak)

Members: ASNO, AELB, BAPETEN, KINAC, PNRI, Japan MFA, JSGO, JAEA, NMCC, IAEA [tbc]

Participants noted that an illustrative implementation kit should provide useful guidance, but it would be for each state to decide the most appropriate form of legislation to meet its needs.

#### **Informal Working Group**: Nuclear Security

Objective: To address synergies between safeguards and nuclear security.

Working Group facilitator: KINAC (Dr Wan-ki Yoon)

Members: ASNO, BAPETEN, Indonesian MFA, PNRI, VARANS

Participants reiterated that, pending a decision to extend APSN's scope to include security, the WG would focus on safeguards and security synergies (both concern effective control of nuclear material), and on facilitating exchanges of information amongst interested members.